



9th Annual Association of University
Export Control Officers Conference

*Export Controls and
Research Security at
Higher Education and
Scientific Institutions*

MAY 8 | WORKSHOPS

MAY 9-10 | CONFERENCE

aueco.org/2023-annual-conference



AUECO CONFERENCE 2023 AGENDA

MONDAY, MAY 8, WORKSHOPS

7:00a-7:00p	Registration	White River Foyer
8:00a-4:00p	Exhibitors	White River Foyer
8:00a-8:45a	Breakfast	White River EF
8:00a-8:15a	Welcome	White River EF
9:00a-12:00p	WORKSHOPS	
	Export Controls in the University Environment: AUECO Beginner Workshop (Morning Session) - Allen DiPalma, University of Pittsburgh - Marsha Pesch, University of Florida	White River IJ
	BIS Advanced Workshop: The Current State of ECRA Implementation - Karen NiesVogel, Bureau of Industry and Security, DOC - Peter Klason, Bureau of Industry and Security, DOC	White River GH
10:00a-10:30a	Break	
12:00p-1:00p	Lunch	White River EF
1:00p-4:00p	WORKSHOPS	
	Export Controls in the University Environment: AUECO Beginner Workshop (Afternoon Session) - Allen DiPalma, University of Pittsburgh - Marsha Pesch, University of Florida	White River IJ
1:00p-4:00p	NSPM 33 Advanced Workshop - Rebecca Keiser, NSF - Holly Bante, University of Cincinnati - Amy Weber, Northwestern University - Mary Millsaps, North Carolina State Univ. - Josef Mejido, University of Rochester	White River GH

AUECO CONFERENCE 2023 AGENDA

MONDAY, MAY 10, WORKSHOPS

2:30p-3:00p	Break	
5:00p-7:00p	Welcome Reception with Herbie the Hellbender	White River Foyer

AUECO CONFERENCE 2023 AGENDA

TUESDAY, MAY 9

6:30a-5:00p	Registration	White River Foyer
8:00a-4:00p	Exhibitors	White River Foyer
7:00a-8:00a	Breakfast	White River EF
7:00a-7:45a	BREAKFAST ROUNDTABLES	
	Preparing for the Future - Export Control Traineeship Programs	White River J
	- Aaron DeLee, University of Chicago	
	- Julie DeVoe, Fred Hutchinson Cancer Center	
	Export Controls and Everything Else!	White River GH
	- Rose Ndegwa, Emory University	
	- Scot Allen, Colorado School of Mines	
	- Lauren Schroeder, Texas A&M University	
	Personal Effectiveness and Management Skills to Improve Remote Working	White River I
	- Chyvyonne Gibson, Univ. of Nebraska-Lincoln	
	- Erik Schulz, University of Nebraska-Lincoln	
8:00a-8:15a	Welcome	White River EF
	- Dr. Karen Plaut, Executive Vice President for Research, Purdue University	

AUECO CONFERENCE 2023 AGENDA

TUESDAY, MAY 9

8:15a-9:15a	Plenary Speaker - <i>Dr. Rebecca Keiser, Chief of Research Security Strategy and Policy, National Science Foundation</i>	White River EF
9:15a-9:30a	Break	
9:30a-10:55a	Government Updates Panel (NRC, BIS, DDTC) - <i>Peter Habighorst, Nuclear Regulatory Commission</i> - <i>Karen NiesVogel, Bureau of Industry & Security</i> - <i>Marissa Cloutier, Directorate of Defense Trade Controls</i> - <i>Christopher Weil, Directorate of Defense Trade Controls</i>	White River EF
10:55a-11:00a	Transition	
11:00a-11:45a	BREAKOUT SESSIONS	
	Making Order in Chaos: Centralizing Export Controls at an Undergraduate (PUI) or FRE University - <i>Mallory Ball, Western Carolina University</i> - <i>Alison Krauss, Huron Consulting</i>	White River JJ
	To Infinity and Beyond! Navigating Export Control Regulations for Space Technologies - <i>Laura Provencher, Univ. of California San Diego</i> - <i>Michael Tu, NASA</i> - <i>Megan Kane, University of Arizona</i> - <i>Chris Weil, DDTC</i>	White River EF
	Best Practices for Global Engagement - <i>Aaron Melville, Pacific Northwest National Laboratory</i>	White River GH
11:45a-12:15p	Lunch Buffet <i>Grab your lunch and enjoy the lunch Plenary Session!</i>	White River Foyer

AUECO CONFERENCE 2023 AGENDA

TUESDAY, MAY 9

12:15p-1:15p	Plenary Lunch Speaker - <i>Kevin Wolf, Akin-Gump</i>	White River EF
1:15p-2:15p	Discussion: Advanced Computing and Semiconductor Manufacturing Items; Supercomputer and Semiconductor End Use Rule - <i>Bethany Nelson, Univ. of Wisconsin-Madison</i> - <i>Emily Pender, Univ. of Southern California</i> - <i>Sarah Schlagter, Cornell University</i> - <i>Karen NiesVogel, Bureau of Industry & Security</i>	White River EF
2:15p-2:45p	Break - <i>Sponsored By</i> FISCHER & ASSOCIATES	
2:45p-3:55p	BREAKOUT SESSIONS	
	ITAR-Limitations of Fundamental Research - <i>Laura Provencher, Univ. of California San Diego</i> - <i>Kevin Wolf, Akin Gump</i> - <i>Christopher Weil, DDTC</i> - <i>Kathryn Klokker, University of Arizona</i>	White River EF
	<i>Perfect is the Enemy of Good: Enhancing University International Travel Security Programs, Policies, and Practices</i> - <i>Emily Pender, University of Southern California</i> - <i>Nick Dabbs, University of Illinois at U-C</i> - <i>Patricia Pfister, University of Illinois at Chicago</i> - <i>Kathy Gentry, University of Illinois at U-C</i>	White River GH
	Basics of a Programmatic Export Control Risk Assessment - <i>Marsha Pesch, University of Florida</i> - <i>Scot Allen, Colorado School of Mines</i> - <i>Marissa Coultier, DDTC</i>	White River IJ

AUECO CONFERENCE 2023 AGENDA

TUESDAY, MAY 9

4:00p-5:00p

Q&A with OFAC

White River EF

- *Chris Penaherrera, Sr Compliance Officer,
OFAC, Department of Treasury*

5:30p-8:00p

**Networking Reception: Celebrating the Diversity and
Complexity of North American Culture at the Eiteljorg Museum**
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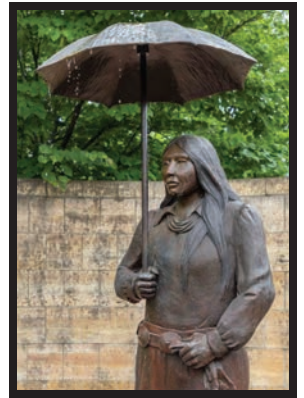
TUESDAY, MAY 9 FROM 5:30-8:00 PM

Celebrating the Diversity and Complexity of North American Culture

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AT THE EITELJORG MUSEUM



The Eiteljorg Museum is a short walk across the street from the JW Marriott. Parking will be available in the White River Underground Parking Garage if needed. Please park on Level 2 and bring your ticket to the reception check-in table to receive your complimentary parking validation. The First Floor Gallery and Gift Shop will be open to attendees.

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AUECO CONFERENCE 2023 AGENDA

WEDNESDAY, MAY 10

7:00a-5:00p	Registration	White River Foyer
8:00a-5:00p	Exhibitors	White River Foyer
7:00a-8:00a	Breakfast	White River EF
7:00a-8:00a	BREAKFAST ROUNDTABLES	
	Q&A for New(ish) Members <i>- Bethany Nelson, Univ. of Wisconsin-Madison</i>	White River GH
	TCPs: Varieties and Lifecycle <i>- Kate Stoen, University of Missouri System</i>	White River I
	Implementation and Partnership for an International Shipping Program - Creating an MOU <i>- Jen McCaw, Oregon Health & Science Univ.</i>	White River J
8:00a-8:15a	Welcome	
8:15a-9:45a	GAO Report Discussion and Updates <i>- Drew Lindsey, Government Accountability Office</i> <i>- Amanda Bartine, Government Accountability Office</i>	White River EF
9:45a-10:00a	Break	

AUECO CONFERENCE 2023 AGENDA

WEDNESDAY, MAY 10

10:00a-11:15a **BREAKOUT SESSIONS**

**Licensing Pitfalls: Things to Keep in Mind
During and After your Application**

- *Terrence Rusch, Cornell University*
- *Kay Ellis, University of Arizona*
- *Mallory Day, Oregon State University*

White River EF

**Export Control and CUI -
A Collaborative Effort**

- *Laura Elkins, University of Cincinnati*
- *Tina Bosworth, University of Cincinnati*

White River IJ

**Building a University Export & Trade
Compliance Program Part 1**

- *Marissa Cloutier, DDTC*
- *Krista Campeau, Michigan State University*
- *Sheila Cranman, Georgia Institute of Tech.*
- *Rose Ndegwa, Emory University*
- *Liliane Bolz, BIS*

White River GH

11:15a-11:40a **Lunch Buffet**

Grab your lunch and enjoy the Lunch Sessions!

White River Foyer

11:40a-12:00p **Descartes Visual Compliance**

- *Jason Harder*

White River EF

12:00p-1:10p **BIS Plenary Speaker Fireside Chat**

- *Matthew S. Axelrod, Assistant Secretary
for Export Enforcement*
- *Patrick Briscoe, Export Controls and
International Projects Officer, University of
Minnesota*

White River EF

AUECO CONFERENCE 2023 AGENDA

WEDNESDAY, MAY 10

1:15p-2:15p

BREAKOUT SESSIONS

**Nuclear Regulatory Commission:
Discussion and Updates**

White River IJ

- *Peter Habighorst,
Nuclear Regulatory Commission*

**Building a University Export & Trade
Compliance Program Part 2—Apply What
You Learn—Practical Exercises**

White River GH

- *Mary Millsaps, North Carolina State Univ.*
- *Amy Weber, Northwestern University*
- *Liliane Bolz, BIS*

BIS Biologics

White River EF

- *Wesley Johnson, Bureau of Industry
and Security, DOC*

2:15p-2:45p

Break - *Sponsored By*

FISCHER & ASSOCIATES

2:45p-3:45p

**Plenary Speaker: Emerging and
Disruptive Technologies in the Academic
Environment**

White River EF

- *Edward You, National Counterintelligence
Officer, Office of the Director of National
Intelligence, National Counterintelligence
and Security Center*

3:45p-4:00p

Break

AUECO CONFERENCE 2023 AGENDA

WEDNESDAY, MAY 10

4:00p-5:00p **BREAKOUT SESSIONS**

Utilizing and Adhering to the United States Government (USG) Regulations to a "Mission to Cuba - for Programmatic Setup" White River IJ
- *William Collins, University of Miami*

International Export Controls Panel White River GH
- *Enzo Caponetti, University of Liege (Belgium)*
- *Charles Giacoma, European Institute for Export Compliance (EIFEC)*
- *Christopher Buckland, Cranfield University, UK*

The Avengers Need an ECO: Using Fictional Examples to Explain Real-World Compliance Issues White River EF
- *Bethany Nelson, Univ. of Wisconsin-Madison*

AUECO CONFERENCE 2023 AGENDA

THURSDAY, MAY 11: MEMBERS MEETING

8:00a-9:00a **Breakfast** White River GH

9:00a-10:30a **Membership Meeting** White River GH

10:30a-10:45a **Break**

10:45a-12:00p **Membership Meeting** White River GH

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NSPM - 33 • CHIPS & Science Act • SBIR/STTR

MONDAY, MAY 8, 2023 • WORKSHOPS

9:00A-12:00P & 1:00P-4:00P

WHITE RIVER IJ

EXPORT CONTROLS IN THE UNIVERSITY ENVIRONMENT: AUECO BEGINNER WORKSHOP

- *Allen DiPalma, University of Pittsburgh*
- *Marsha Pesch, University of Florida*

This full day workshop will discuss the EAR, ITAR, and OFAC regulations and how each set of regulations apply to various activities in a university setting. Examples, exercises and group discussions will be used to discuss and explain topics such as export license determinations, common license exceptions, catch-all controls, deemed exports and university-based exemptions to the regulations.

9:00A-12:00P

WHITE RIVER GH

BIS ADVANCED WORKSHOP: THE CURRENT STATE OF ECRA IMPLEMENTATION

- *Karen NiesVogel, Bureau of Industry and Security, Department of Commerce*
- *Peter Klason, Bureau of Industry and Security, Department of Commerce*

Join this in-depth discussion of the current state of ECRA implementation.

1:00P-4:00P

WHITE RIVER GH

NSPM 33 ADVANCED WORKSHOP

- *Rebecca Keiser, National Science Foundation*
- *Holly Bante, University of Cincinnati*
- *Amy Weber, Northwestern University*
- *Mary Millsaps, North Carolina State University*
- *Josef Mejido, University of Rochester*

This highly interactive workshop will allow participants to gain a fuller understanding of NSPM 33 requirements, the implementation guidelines, and the timeline, along with a plan for their institution's top priorities and ideas/know-how to provide comments from their institution to OSTP/NSF. Best of all, you will have the opportunity to discuss best practices, innovative approaches, and challenges with a motivated peer group and expert advisor.

TUESDAY, MAY 9, 2023

7:00A-7:45A

WHITE RIVER J

BREAKFAST ROUNDTABLE: PREPARING FOR THE FUTURE - EXPORT CONTROL TRAINEESHIP PROGRAMS

- *Aaron DeLee, University of Chicago*
- *Julie DeVoe, Fred Hutchinson Cancer Center*

An Export Control Trainee program can benefit many export control offices by building expertise in export control regulation and analysis with two primary objectives:

1. Identify gaps in an institution's export control programs and processes.
2. Train a back-up in case of future vacancies – export control positions are very difficult to fill and even more difficult to train once a vacancy occurs.

A trainee program can greatly enhance collaboration and communication amongst an institution's export control groups and other offices, which allows a better understanding of risks, evaluation of current processes, and creation of a more robust compliance program.

7:00A-7:45A

WHITE RIVER GH

BREAKFAST ROUNDTABLE: EXPORT CONTROLS AND EVERYTHING ELSE!

- *Rose Ndegwa, Emory University*
- *Scot Allen, Colorado School of Mines*
- *Lauren Schroeder, Texas A&M University*

If you have been in the export control function for any amount of time, you have probably had to wear the 'Everything Else' hat in addition to your Export Control one. What is the Everything Else hat, you ask? It is the Import Specialist, Custom Clearance Adviser, Research Security Officer, Undue Foreign Influence Adviser, NSPM33 Coordinator... Or perhaps it is the other way around – export control compliance is your Everything Else hat. In this Round Table we invite people wearing multiple hats to share their experiences and tips on juggling these roles (and staying sane!). What are the key partnerships and collaborations that have proved useful?

TUESDAY, MAY 9, 2023

7:00A-7:45A

WHITE RIVER I

BREAKFAST ROUNDTABLE: PERSONAL EFFECTIVENESS AND MANAGEMENT SKILLS TO IMPROVE REMOTE WORKING

- *Chyvyonne Gibson, University of Nebraska-Lincoln*
- *Erik Schulz, University of Nebraska-Lincoln*

Since the start of the COVID-19 Pandemic, the number of people working remotely have increased. With employees opting out of returning to the office, as of 2022, 26% of U.S. employees work fully remotely and 66% of U.S. employees work hybrid schedules, meaning they work remotely at least part-time. There are expected to be 36.2 million American employees working remotely by 2025. With a growing number of U.S. employees working from home achieving an effective Remote/Hybrid environment is critical to the success of the office. This presentation aims to provide a set of remote work practices and mix-methods to support the goals and objectives of the office while championing a successful remote team.

8:00AM-8:15AM

WHITE RIVER EF

WELCOME

- *Karen Plaut, Executive Vice President for Research, Purdue University*

8:15AM-9:15AM

WHITE RIVER EF

PLENARY SPEAKER

- *Rebecca Keiser, Chief of Research Security Strategy and Policy, National Science Foundation*

9:30A-10:55A

WHITE RIVER EF

GOVERNMENT UPDATES PANEL (NRC, BIS, DDTC)

- *Peter Habighorst, Nuclear Regulatory Commission*
- *Karen NiesVogel, Bureau of Industry & Security*
- *Marissa Cloutier, Defense Trade Control Compliance*
- *Christopher Weil, Directorate of Defense Trade Controls*

Learn about current issues and resources from our government partners.

TUESDAY, MAY 9, 2023

11:00A-11:45A

WHITE RIVER IJ

MAKING ORDER IN CHAOS: CENTRALIZING EXPORT CONTROLS AT AN UNDERGRADUATE (PUI) OR FRE UNIVERSITY

- *Mallory Ball, Western Carolina University*
- *Alison Krauss, Huron Consulting*

The concept of Export Control is often considered to be a broad topic. Primarily Undergraduate Institutions (PUIs) must meet the same regulatory requirements as larger institutions with less resources and often less institutional knowledge/expertise. Further, laws and guidance around Export Control are constantly developing and changing. How do universities apply Export Control to their operations, goods, or research collaborations? When does the fundamental research exemption apply? When considering a Primarily Undergraduate Institution, there are specific areas in which institutions may be in violation of export control laws. This presentation will define those areas to which Export Control laws can be applied at institutions while sharing experienced-based best practices and lessons learned from developing an Export Control program.

11:00A-11:45A

WHITE RIVER EF

TO INFINITY AND BEYOND! NAVIGATING EXPORT CONTROL REGULATIONS FOR SPACE TECHNOLOGIES

- *Laura Provencher, University of California San Diego*
- *Michael Tu, NASA*
- *Megan Kane, University of Arizona*
- *Chris Weil, Directorate of Defense Trade Controls*

Join us for an interactive moderated discussion to learn how your institution's space research may involve controls (even if it is fundamental research). The panel will share experience gained from space-industry, engineering, BIS, and NASA. The panel will address how the EAR and ITAR can impact research and development of various space technologies, from cubesats to space telescope design; provide questions to cover whenever assessing space-related research; and provide components of common technologies you should understand. Participants will have an opportunity to ask questions and practice applying knowledge and skills working through scenarios.

TUESDAY, MAY 9, 2023

11:00A-11:45A

WHITE RIVER GH

BEST PRACTICES FOR GLOBAL ENGAGEMENT

- *Aaron Melville, Pacific Northwest National Laboratory*

This session will discuss observations and learned best practices for engagement with universities in developing countries across the globe, including the identification and protection of sensitive technologies and knowledge security.

12:15PM-1:15PM

WHITE RIVER EF

PLENARY LUNCH SPEAKER

- *Kevin Wolf, Akin-Gump*

Former Assistant Secretary of Commerce for Export Administration, Bureau of Industry and Security, and currently a partner at Akin-Gump. This will be a discussion of export controls in the broader landscape of research security and a rapidly changing environment.

1:15PM-2:15PM

WHITE RIVER EF

DISCUSSION: ADVANCED COMPUTING AND SEMICONDUCTOR MANUFACTURING ITEMS; SUPERCOMPUTER AND SEMICONDUCTOR END USE RULE

- *Bethany Nelson, University of Wisconsin - Madison*
- *Emily Pender, University of Southern California*
- *Sarah Schlagter, Cornell University*
- *Karen NiesVogel, Bureau of Industry and Security*

The Advanced Computing and Semiconductor Manufacturing Items; Supercomputer and Semiconductor End Use Rule are far ranging and include novel controls. Join this session to discuss and learn more about the implications for your university, key features, anticipated prohibitions, ECCN changes, and discussion.

TUESDAY, MAY 9, 2023

2:45PM-3:55PM

WHITE RIVER EF

ITAR-LIMITATIONS OF FUNDAMENTAL RESEARCH

- *Laura Provencher, University of California - San Diego*
- *Kevin Wolf, Akin Gump*
- *Christopher Weil, Directorate of Defense Trade Controls*
- *Kathryn Klokker, University of Arizona*

When there are no contractual restrictions on research, you are free to publish, right? Maybe. Are NDAs involved? Is the technology developed subject to the ITAR?

Join representatives from DDTC, Akin Gump, the University of California – San Diego and the University of Arizona to gain insights to the limitations of the Fundamental Research Exclusion- even when there are no contractual restrictions! The panel will address implications to university research and participants will work through scenarios to practice identifying limitations to fundamental research.

2:45PM-3:55PM

WHITE RIVER GH

PERFECT IS THE ENEMY OF GOOD: ENHANCING UNIVERSITY INTERNATIONAL TRAVEL SECURITY PROGRAMS, POLICIES, AND PRACTICES

- *Emily Pender, University of Southern California*
- *Nick Dabbs, University of Illinois – Urbana-Champaign*
- *Patricia Pfister, University of Illinois - Chicago*
- *Kathy Gentry, University of Illinois – Urbana-Champaign*

New requirements around international travel security, such as those articulated in National Security Presidential Memorandum (NSPM)-33, have prompted some universities to reexamine their approach to managing international travel. This workshop aims to facilitate an interactive conversation among participants and will focus on two key objectives. First, we will discuss the implications for your institution of the National Science and Technology Council's NSPM-33 Implementation Guidance on foreign travel security and identify the most challenging aspects of meeting these requirements in the higher education environment. Second, we will explore effective strategies for engaging with campus business owners, stakeholders, and senior leadership to promote awareness and implement controls that protect both university travelers and research data - all while avoiding the pitfalls of perfectionism. The University of Chicago teams will describe their processes and gaps to illustrate key approaches and a discussion with participants will follow.

TUESDAY, MAY 9, 2023

2:45P-3:55P

WHITE RIVER IJ

BASICS OF A PROGRAMMATIC EXPORT CONTROL RISK ASSESSMENT

- *Marsha Pesch, University of Florida*
- *Scot Allen, Colorado School of Mines*
- *Marissa Cloutier, Defense Trade Control Compliance*

This presentation will cover the basics of a programmatic export control risk assessment. Based on the federal guidelines for incorporating risk assessments as an element for an effective export control program, the presenters will provide recommended practices to assist in conducting a periodic review. The presentation will include an overview of the process for developing a risk assessment, tools for report writing, and an overview of the benefits for a program.

4:00PM-4:30PM

WHITE RIVER EF

Q&A WITH OFFICE OF FOREIGN ASSET CONTROLS, TREASURY DEPARTMENT

- *Chris Penaherrera, OFAC Senior Compliance Officer*

Mr. Penaherrera will join us for a virtual Q&A session. Please send your questions to exportcontrols@purdue.edu.

WEDNESDAY, MAY 10, 2023

7:00A-8:00A

WHITE RIVER GH

BREAKFAST ROUNDTABLE: Q&A FOR NEW(ISH) MEMBERS

- *Bethany Nelson, University of Wisconsin - Madison*

Export Control is complicated, add in a University setting and it gets even more so. This session is for people with three or less years of experience in University export controls to come and get their questions answered.

7:00AM-8:00AM

WHITE RIVER I

BREAKFAST ROUNDTABLE: TCPS: VARIETIES AND LIFECYCLE

- *Kate Stoan, University of Missouri System*

With the increasing number of controls being applied to research funding, how do universities keep track of issues such as FGTRP certifications, DOE foreign national approvals, cybersecurity plans, and one-off training requirements? Who do you provide access to ITAR or CUI compliant cloud services? This roundtable will discuss types of technology control plans (lite, facility control plan, plans for specific systems) and monitoring and auditing best practices.

7:00AM-8:00AM

WHITE RIVER J

BREAKFAST ROUNDTABLE: IMPLEMENTATION AND PARTNERSHIP FOR AN INTERNATIONAL SHIPPING PROGRAM - CREATING AN MOU

- *Jen McCaw, Oregon Health and Science University*

This collaborative workshop will encourage attendees to discuss the general points of an international shipping program, consider situations unique to their institution, and ultimately develop an MOU specific to their needs that identifies compliance processes for managing and overseeing an international shipping program.

8:15AM-9:45AM

WHITE RIVER EF

GAO REPORT DISCUSSION AND UPDATES

- *Drew Lindsey, Government Accountability Office*
- *Amanda Bartine, Government Accountability Office*

GAO has issued two reports in the last three years on universities and export controls. Find out more about these report findings and actions the agencies have taken to implement the recommendations.

WEDNESDAY, MAY 10, 2023

10:00AM-11:15AM

WHITE RIVER EF

LICENSING PITFALLS: THINGS TO KEEP IN MIND DURING AND AFTER YOUR APPLICATION

- *Terrence Rusch, Cornell University*
- *Kay Ellis, University of Arizona*
- *Mallory Day, Oregon State University*

It's no secret amongst practitioners of export controls/sanctions compliance that licenses can be a chore to obtain. But what happens once you've actually got one? Your compliance journey (and the risk) doesn't end there! Properly utilizing, administering, and monitoring license activity on your campus is as critical to the success of your compliance program as was all the upfront work that went into getting the authorization to begin with. This session will discuss regulatory requirements and best practices for managing licenses issued to universities under the ITAR, EAR, and OFAC regulations.

10:00AM-11:15AM

WHITE RIVER IJ

EXPORT CONTROL AND CUI - A COLLABORATIVE EFFORT

- *Laura Elkins, University of Cincinnati*
- *Tina Bosworth, University of Cincinnati*

With many of the regulatory requirements for Export Control, ITAR and CUI projects being closely related, University of Cincinnati has recognized the value of the Export Control Officer and the Cybersecurity Specialist managing CUI projects collaborating their efforts, processes and work streams. This presentation will discuss the areas in which the ECO and Cybersecurity specialist have joined their efforts to improve processes, reduce overhead and improve the experience for University staff and faculty engaged in ITAR and CUI projects. The presenters will highlight the benefits of collaboration to the university, the office of research and the principle investigators.

WEDNESDAY, MAY 10, 2023

10:00AM-11:15AM

WHITE RIVER GH

BUILDING A UNIVERSITY EXPORT & TRADE COMPLIANCE PROGRAM PART 1

- *Marissa Cloutier, Defense Trade Control Compliance*
- *Krista Campeau, Michigan State University*
- *Sheila Cranman, Georgia Institute of Technology*
- *Rose Ndegwa, Emory University*

No two export control programs look alike. In this session, the presenters will share their experiences of building export control compliance programs at institutions whose research engagements span the range from fundamental to classified research.

11:40AM-12:00PM

WHITE RIVER EF

DESCARTES VISUAL COMPLIANCE

- *Jason Harder*

Learn more about Descartes Visual Compliance capabilities and how they are addressing the rapidly changing regulatory environment.

12:00PM-1:10PM

WHITE RIVER EF

BIS PLENARY SPEAKER FIRESIDE CHAT

- *Matthew S. Axelrod, Assistant Secretary for Export Enforcement, Bureau of Industry and Security, Department of Commerce*
- *Patrick Briscoe, Export Controls and International Projects Officer, University of Minnesota*

1:15PM-2:15PM

WHITE RIVER IJ

NUCLEAR REGULATORY COMMISSION: DISCUSSION AND UPDATES

- *Peter Habighorst, Nuclear Regulatory Commission*

Learn more about Nuclear Regulatory Commission's export authority and hear about recent changes.

WEDNESDAY, MAY 10, 2023

1:15PM-2:15PM

WHITE RIVER GH

BUILDING A UNIVERSITY EXPORT & TRADE COMPLIANCE PROGRAM PART 2—APPLY WHAT YOU LEARN—PRACTICAL EXERCISES

- *Mary Millsaps, North Carolina State University*
- *Amy Weber, Northwestern University*

You can't do it all, so don't try to! Export control risks are endless in a university environment, especially with the evolving regulatory landscape. This session aims to provide the learners with easy-to-use tools to evaluate their programs and prioritize their efforts to improve their export control programs, even in resource-starved environments.

No program is perfect, but even little improvements can dramatically impact risk mitigation.

1:15PM-2:15PM

WHITE RIVER EF

BIS BIOLOGICS

- *Wesley Johnson, Bureau of Industry & Security*

Some biologics, agents and chemicals, even those that are commonly used in the laboratory, are highly controlled under U.S. export control regulations. Join Wesley Johnson to learn more about controls, exports, and licensing.

2:45PM-3:45PM

WHITE RIVER EF

EMERGING AND DISRUPTIVE TECHNOLOGIES IN THE ACADEMIC ENVIRONMENT

- *Edward You, National Counterintelligence Officer for Emerging and Disruptive Technologies*
 - *Office of the Director of National Intelligence*
 - *National Counterintelligence and Security Center*

Learn more about risks around emerging and disruptive technologies from a federal officer with a keen understanding of both the regulatory framework surrounding export controls and a knowledge of university processes and practices.

WEDNESDAY, MAY 10, 2023

4:00PM-5:00PM

WHITE RIVER IJ

UTILIZING AND ADHERING TO THE UNITED STATES GOVERNMENT (USG) REGULATIONS TO A "MISSION TO CUBA - FOR PROGRAMMATIC SETUP"

- *William Collins, University of Miami*

This session presentation will furnish the working details of the USG regulations that are available to the Export Control Offices (ECO) when entering the regulatory environment of Cuba. The University of Miami's (UM) ECO was drawn into the utilization of the multilayered General License environment within OFAC, which requires substantial deliverables to maintain compliance. A "Step by Step" approach to OFAC Compliance and the Regulatory Environment will be presented.

4:00PM-5:00PM

WHITE RIVER GH

INTERNATIONAL EXPORT CONTROLS PANEL

- *Enzo Caponetti, Univ of Liege (Belgium)*
- *Charles Giacom, European Institute for Export Compliance (EIFEC)*
- *Christopher Buckland, Cranfield University, UK*

Learn what is happening in the export controls across the pond! This is an interactive session with our European counterparts.

4:00PM-5:00PM

WHITE RIVER EF

THE AVENGERS NEED AN ECO: USING FICTIONAL EXAMPLES TO EXPLAIN REAL-WORLD COMPLIANCE ISSUES

- *Bethany Nelson, University of Wisconsin - Madison*

Consider that perhaps the Avengers could have defeated Thanos if they had only used a proper license. Maybe Harry Potter wouldn't have had to lead a revolution had Hogwarts done a proper risk assessment, and the Love Boat should have been impounded with their horses by sea. Export Control permeates our world, even our pop culture. This session will take fictional examples of export control issues from movies, books, and tv shows and use them to illustrate the real-world application of export control compliance.

At Fischer & Associates, we partner with America's institutions to develop, implement, manage, and assess Export Control, Classified, and Research Security programs.

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SPEAKER BIOS

SCOT ALLEN



Dr. Scot Allen directs Research Integrity and Security activities within the Office of Research and Technology Transfer at Colorado School of Mines. In this role, he builds relationships with faculty, staff, and students to develop a research culture of security, integrity, and safety. Responsibilities encompass export controls, undue foreign influence, empowered official, research integrity officer, human subjects research, and responsible conduct of research. Current efforts include establishing an effective research integrity and security council for the university.

An Air Force veteran with deployment experiences in the Middle East, West Africa, and Europe for NATO operations over Bosnia, Scot has more than 20 years' experience in international relations, program development, and national security. He teaches engineering design and worked previously in global education, leading students on humanitarian engineering and cultural immersion programs in Africa, Latin America, Asia, and Europe.

MATTHEW AXELROD



Matthew S. Axelrod was unanimously confirmed by the United States Senate and currently serves as the Assistant Secretary for Export Enforcement at the U.S. Department of Commerce's Bureau of Industry and Security. In this capacity, Matt leads an elite cadre of special agents and analysts dedicated to the enforcement of the country's export control laws. Matt and his team protect and promote U.S. national security and foreign policy objectives by stopping exports of sensitive goods and technologies that can be put to malign purposes like weapons-of-mass-destruction proliferation, military and military-intelligence applications, terrorism, and human rights abuses. They also work to ensure U.S. persons do not participate in unsanctioned foreign boycotts.

Matt previously spent over thirteen years at the U.S. Department of Justice. After six years in Miami, Matt was detailed to Department of Justice headquarters in Washington, D.C., where he worked as a Senior Counsel to the Assistant Attorney General for the Criminal Division and then as an Associate Deputy Attorney General, responsible for overseeing the criminal enforcement work of the Department. From 2015 to 2017, Matt served as the Principal Associate Deputy Attorney General, one of Department's highest-ranking officials.

continued on next page...

SPEAKER BIOS

MATTHEW AXELROD, CONTINUED

Matt received his B.A. cum laude from Amherst College and his J.D. from Yale Law School, where he was Notes Editor for the Yale Law Journal. Following law school, Matt clerked for the Honorable Ralph K. Winter, Jr. on the United States Court of Appeals for the Second Circuit, and for the Honorable Janet C. Hall on the United States District Court for the District of Connecticut.

MALLORY BALL



Dr. Mallory Ball, a regulatory compliance professional, is the Director for Research Compliance and Integrity at Western Carolina University. Her research interests are regulatory process improvement and public and community health issues such as mental health, obesity, and substance abuse. Previously an IRB Administrator, she is also a Certified IRB Professional with an expertise in Social/Behavioral research. Ball holds an MPH with a concentration in Epidemiology and a PhD in Education Leadership and often looks for ways to combine the two disciplines in both research and higher education in her community.

HOLLY BANTE



Holly A. Bante, PhD, MPH serves as the Associate Vice President for Research Security and Ethics in the Office of Research at the University of Cincinnati. Research Security and Ethics encompasses export control, cybersecurity in research, conflicts of interest, foreign influence, classified research and international collaboration. Holly serves as the institutional official for conflicts of interest and the empowered official for export controls. Since 2019, she sits on the steering committee for the Association of American Medical Colleges (AAMC) Forum

on Conflicts of Interest and holds an adjunct assistant professor position in UC's College of Medicine where she teaches responsible conduct of research (RCR) to graduate students and biomedical ethics to undergraduate students in the Medical Sciences program.

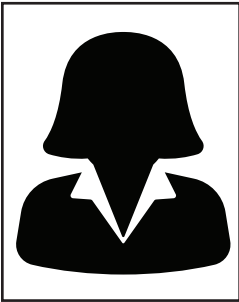
SPEAKER BIOS

AMANDA BARTINE



Amanda Bartine is a Senior Analyst in the International Affairs and Trade (IAT) team at the U.S. Government Accountability Office (GAO) where she leads reviews of federal programs in the areas of national security and foreign policy. Her portfolio of work has covered a range of issues, including diplomatic security, Russian disinformation, export controls, and Arctic issues. Ms. Bartine has a Master's degree in Public Policy from the University of Michigan's Gerald R. Ford School and a bachelor's degree in Psychology from Washington and Lee University.

LILIANE BOLZ



Liliane Bolz is an Export Compliance Specialist in the Department of Commerce's Bureau of Industry and Security (BIS), Export Management and Compliance Division. Her responsibilities include assisting U.S. industry in enhancing their export compliance programs, examining export data to confirm compliance with the requirements of the Export Administration Regulations (EAR), reviewing export compliance program (ECP) manuals, conducting company visits, seminars and other outreach activities. Prior to joining BIS, Mrs. Bolz

worked in the aircraft industry.

TINA BOSWORTH



I have been in the compliance area in logistics and international transportation for my entire career. I previously worked as the Traffic Compliance Manager at L-3 Harris, the world's 6th largest military contractor for eight years before coming to the University of Cincinnati. I have been an active member in AUECO and have presented several times at past conferences. When not at work I live on the family farm with a menagerie of farm animals but primarily a poultry operation. I also show my cats professionally and write short story fiction.

SPEAKER BIOS

PATRICK BRISCOE



Patrick Briscoe is the Export Controls and International Projects Officer for the University of Minnesota System. He holds a BA in English and History from the University of New Mexico and a JD from the Georgetown University Law Center. Since the 1990s, Pat has been an export practitioner in academic, corporate, and law firm contexts, dealing with armored vehicles, firearms, satellites, pathogens, nuclear source material, robots, and anchovies, among other items. His many license applications, comment letters, and other submissions have been described by U.S.

Government officials as “received.”

CHRISTOPHER BUCKLAND



I have 15 years of experience with export controls in the context of the UK Higher Education sector with a primary mix of military and dual use focus.

More widely within Cranfield I am responsible for our holistic approach to secure internationalisation activities and the associated compliance and culture responsibilities. As the current HEECA Co-Chair I have broader sector wide responsibility for convening and managing collaborative engagement across UK government bodies, the Higher Education (HE) Community

and Industry and our international partners to address export control compliance and the wider Trusted Research agenda. Through proactive outreach and development of tools and training materials informed by community engagement we provide a scalable suite of resources for the benefit of the UK HE community and our international partners.

KRISTA CAMPEAU



Krista Campeau is the Director of the Office of Export Control and Trade Sanctions (ECTS) at Michigan State University where she oversees export compliance across the institution, including assisting with review of sponsored research agreements, identifying compliance gaps, and implementing new business processes and risk mitigation efforts. She is tasked with the continued development and implementation of University-wide Export Compliance and Research Security Programs. Krista

continued on next page...

SPEAKER BIOS

KRISTA CAMPEAU, CONTINUED

was previously the Export Control Officer and Director, Export Control Program & Research Information Security Oversight for the University of Michigan for the past eight years. She is a member of the State Bar of Michigan and a graduate of the University of Michigan.

ENZO CAPONETTI



Mr. Enzo Caponetti is a Ph.D. candidate, as well as an assistant and lecturer at the European Studies Unit (ESU) of the University of Liege, in Belgium. His doctoral research focuses on the governance of research involving dual-use items in the scientific and political spheres.

Since March 2022, he is also the regional coordinator of the implementation of the EU P2P export control program for dual-use goods in the North African region.

MARISSA CLOUTIER



Marissa Cloutier is the Chief of the Compliance and Civil Enforcement Division at the Office of Defense Trade Controls Compliance, U.S. Department of State. Marissa joined the office in February 2015. Her work involves reviewing voluntary and directed disclosures, developing and managing consent agreements, and engaging with the defense industry to ensure proper compliance with the Arms Export Control Act (AECA) and the International Traffic in Arms Regulations (ITAR). Prior to joining the U.S. Department of State, Marissa worked at the NASA Langley

Research Center in Hampton, Virginia as the Assistant Center Export Administrator, Alternate Empowered Official. Marissa was responsible for assessing and ensuring compliance of all center activities with NASA policies, U.S. export control laws and regulations, and U.S. sanctions. She also worked at a Service-Disabled Veteran-Owned Small Business, where she supported the direct commercial sale of military electronics, aircraft, and ordnance by authoring export licenses and facilitating shipments. While in college, she interned with the U.S. Commercial Service, U.S. Department of Commerce at the U.S. Embassy in Israel. She received a Bachelor of Arts in International Relations and Finance from Michigan State University and a Master of Arts in Defense and Strategic Studies from the U.S. Naval War College.

SPEAKER BIOS

WILLIAM COLLINS



William J. Collins, Director of Export Control Compliance, University of Miami, (March 2017) and oversees the Export Management Compliance Program.

Has private and government sector experience in export compliance and served as an Empowered Official with the Boeing Company; at NASA Kennedy Space Center as a federal civil servant which included various capacities as a U.S. government contractor in export control management. Also worked in Washington D.C. between the White House, Congress and the U.S.

State Department. William J. Collins holds a Bachelor in Arts degree in Political Science from the University of South Florida.

SHEILA CRANMAN



Sheila Cranman is Assistant Chief Counsel – Export & Trade - in the Office of General Counsel at Georgia Institute of Technology. She was a 2018 Georgia Tech Diversity & Inclusion Fellow. Her project to train graduate students in conflict resolution skills is institutionalized in some Responsible Conduct of Research courses for first year graduate students. August 2022, Sheila presented on a panel at the Association of College & University Auditors (ACUA) Conference (AUDITCON) “Bull by the Horns: Conducting an Audit for Export Controls” with colleagues Scot

Allen (Colorado School of Mines) and Jennifer Saak (Traliance). They published an article with the same name in the ACUA online journal. November 2020, Sheila spoke at the National Association of College & University Attorneys (NACUA) Advanced Workshop “Lessons Learned from Research Activities outside the U.S - Close calls and near misses related to liability and exposure abroad”.

Sheila is a mediator with experience mediating family, juvenile, special education, small claims/landlord tenant and business disputes. She taught mediation as a Visiting Assistant Professor at the University of Arkansas Little Rock William H. Bowen School of Law where she developed and directed a juvenile mediation clinic. Sheila directed a juvenile court mediation program in Georgia that was a pilot program for deprivation/dependency neglect mediation.

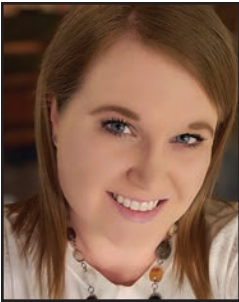
SPEAKER BIOS

NICK DABBS



Nick Dabbs is the Deputy Export Compliance Officer at the University of Illinois Urbana-Champaign, and has served in this capacity since December 2022. He works alongside the Export Compliance Officer to ensure university personnel understand and comply with federal export regulations, and assists with the development of training and educational materials. Prior to this role, he served as the Program Coordinator for Research and Sponsored Programs at the University of Illinois Springfield for almost 2 years.

MALLORY DAY



Mallory Day joined Oregon State University in April of 2022 as the Export Compliance Officer. Prior to joining Oregon State, Mallory was an Export Compliance Coordinator and then Manager at the University of Oklahoma for seven years. She earned her bachelor's and master's at the University of Oklahoma in Criminology and Criminal Justice. Mallory is honored to currently be a part of the Programming, Membership, and Mentorship Committees for AUECO. She enjoys sharing her passion for export controls with fellow university export control officers. She currently resides in

Corvallis, Oregon, and can be found exploring the wilderness and her new home with her husband and two dogs (since the cats have to stay home).

AARON DELEE



Aaron DeLee is an Export Control Officer at the University of Chicago in Chicago, Illinois. Aaron joined the Export Control Office in University Research Administration through the University of Chicago's traineeship program. Aaron made the shift into export controls after a near 15-year progressive career in research administration at Northwestern University.

SPEAKER BIOS

JULIE DEVOE



Julie DeVoe is a Senior Compliance Program Manager at the Fred Hutchinson Cancer Center in Seattle, Washington. Julie worked previously in export controls at the University of Chicago and at Indiana University, and she had a first career as a middle and high school English teacher in international schools abroad.

ALLEN DIPALMA



Allen A. DiPalma is the Director of the Office of Trade Compliance at the University of Pittsburgh. In this capacity, he is responsible for the export controls program, academic visitor vetting, biological imports, drones, and many aspects of the growing field of research security including management of Pitt's "Managing International Research and Engagement" website. Prior to creating the Office of Trade Compliance in 2014, he was the Director of Pitt's sponsored programs office (the Office of Research) for 10 years. In total, his career covers over 32 years and involves a wide array of research compliance topics.

Allen is also an active member and past Chair of the Board for the Association of University Export Controls Officials (AUECO), current Board member for the Council on Governmental Relations (COGR), Steering Committee member for the Academic Security and Counter Exploitation Working Group (ASCE), and export controls representative for the AAU/APLU Science and Security Working Group.

SPEAKER BIOS

LAURA ELKIN



Laura Elkin has over 20 years of experience in Cybersecurity and Information Technology with experience in the Higher Education and K12 environments as well as private industry. With a background in NIST cybersecurity assessment and risk management, she is passionate about collaborating with different teams to identify creative solutions to drive down their cyber risk. Laura has experience helping organizations evaluate their cyber risk, and working with teams to understand and mitigate or remediate those risks. Laura is the Cybersecurity Specialist for University of Cincinnati and works closely with researchers to meet their cybersecurity requirements for grants and contracts. She specializes on working with researchers on their DoD cybersecurity requirements and is partnering with the CISO to lead UC's CMMC efforts.

KAY ELLIS



Kay Ellis is Director of the Export Control Program at the University of Arizona and has been involved with export controls for over 20 years. She received a Bachelor of Science from Southern Nazarene University and a Master in Human Relations from the University of Oklahoma. Ms. Ellis was with the University of Oklahoma for ten years prior to joining Oklahoma State University in 2002. As Director of University Research Programs, she developed OSU's first export control compliance program. In 2008, she became the Associate Director, Office of Sponsored Projects and Export Control Officer at the University of Texas in Austin where she was an Empowered Official and the point of contact for all export control issues. Ms. Ellis joined the University of Arizona in 2011 and oversees the University Export Control Program. She is an Empowered Official, provides export control training, and has implemented campus-wide procedures to help ensure the University's compliance with federal export control regulations.

Ms. Ellis is a member and former Board Member of both the Association of University Export Control Officers (AUECO) and the National Council of University Research Administrators (NCURA). She has presented at a variety of professional meetings, conferences, and webinars including the Export Compliance Training Institute (ECTI), Technology Transfer Central, AUECO, and NCURA. In addition, Ms. Ellis has co-authored export compliance training modules for the Collaborative Institutional Training Initiative (CITI) Program and co-authored for the NCURA Magazine.

SPEAKER BIOS

KATHY GENTRY



Kathy Gentry is the Export Compliance Officer for the University of Illinois Urbana-Champaign. Export Control is the primary point of contact for the review of all issues related to export controls and foreign influence on the Urbana-Champaign campus.

Kathy joined the University in 2017, working for several Colleges within the University before settling in Sponsored Programs Administration (SPA) in 2019. Duties for SPA include reviewing contracts/grants for export control issues, performing outreach and training for export control and foreign influence, and monitoring government activities for new regulations or requirements.

Before coming to the University, Kathy worked for APL Engineered Materials, LLC and Aldrich-APL, LLC- both in Urbana, IL- for 27 years handling export duties and all things hazardous for chemicals and compliance. Both companies had concerns for highly hazardous chemicals and logistics. Kathy created processes to monitor/report to Federal agencies for compliance. Audits were regularly performed by DEA, DHS, DOT, EPA, FAA, NRC, and OSHA.

Kathy is a member of the Association of University Export Control Officers (AUCEO), ECoP® (EAR/ITAR) certified, and a University of Illinois Certified Research Administrator (Illini CRA).

CHARLES GIACOMA



Charles Giacoma is the EIFEC's Director General since 2011. EIFEC - European Institute for Export Compliance is an independent non-profit organisation with members from public and private organisations, universities, companies and other bodies and institutions. EIFEC is the international Compliance Standards organisation in areas that pose a threat to international security, such as: proliferation of nuclear, biological and chemical weapons; Weapons of Mass Destruction; Sanctions (Export Compliance); epidemic health emergencies.

Previously, Charles Giacoma worked as Managing Director of a leading export finance institution where he has played a leading role in major Export finance developments and Sovereign Debt Restructuring. Mr. Giacoma has also served several terms as Advisor to World Bank and IMF on behalf of Sovereign Authorities. He is active in non-profit multilateral initiatives and institutions with main focus on health care for children in several Less Developed Countries or Conflict Areas and served as Member of Governors of a Health foundation.

SPEAKER BIOS

CHYVONNE GIBSON



Chyvonne M. Gibson is the Export Control Manager at the University of Nebraska–Lincoln. She is responsible for developing, coordinating, and implementing the Export Control Compliance Program for UNL. Chyvonne has worked in export compliance since 2019. Prior to working in export control compliance, she worked in International Education as a Lecturer and Scholar Specialist. Chyvonne holds a master's degree in International Studies & Chinese Culture from Concordia University-Irvine. She also has completed the Certified Export Compliance Professional (ECoP) in both EAR and ITAR and is a member of the Association of University Export Compliance Officers (AUECO).

PETER HABIGHORST



Mr. Habighorst is currently and for the last seven years, the Chief of the Export Controls and Nonproliferation Branch in the Office of International Programs at the U.S. Nuclear Regulatory Commission. Having been with the NRC for 36 years, Mr. Habighorst has held various positions of increasing responsibility and diversity including power reactor inspector, technical assistant for the Executive Director of Operations, Fuel Facility Licensing Branch Chief, and Material Controls and Accounting Branch Chief. He was awarded a Meritorious Service Award for excellence in reactor inspections. Mr. Habighorst received an M.S. in Environmental Engineering from the University of New Haven and a B.S. in Marine Engineering from U.S. Merchant Marine Academy. Prior to employment with the NRC, Mr. Habighorst was employed with Westinghouse Electric Corporation as a nuclear plant engineer/shift manager at a naval reactor submarine prototype.

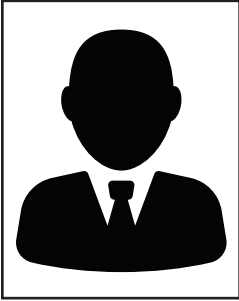
JASON HARDER



Jason has worked to grow and maintain the customer base for Descartes trade compliance solutions by reaching out to prospects, nurturing leads, upselling existing business, and building lasting customer trust for over a decade.

SPEAKER BIOS

WESLEY JOHNSON



Dr. Wesley Johnson is a microbiologist with the U.S. Department of Commerce. He holds a BS and PhD in marine science and has an extensive background in microbial ecology, molecular microbiology, functional genomics, and metagenomics. He has served for seven years as a licensing officer and technical subject matter expert for the Chemical and Biological Controls Division of BIS, which implements the Export Administration Regulations for export of dual-use biological and chemical commodities and technologies. Dr. Johnson also serves as the biological technical expert for the Department of Commerce for the Australia Group multilateral export control regime, with a primary focus on synthetic biology and emerging biotechnologies.

MEGAN KANE



Megan Kane is an Export Control professional with a decade of experience in space and high tech related export regulations in the US and abroad. While working with startups she managed export control compliance programs in 4 countries, and exports to export and imports in over 20 countries. Today she works at the University of Arizona's export control office while pursuing her PhD in Biosystems Engineering with a focus on Bioregenerative Life Support Systems for the Moon and Mars. Her stated goal is going to Mars. Additionally, she is part of the International Institute for Astronautical Sciences' Citizen Science Project Possum and will be conducting a mission at the Mars Desert Research Station in May 2023. www.linkedin.com/in/kanemeganm

SPEAKER BIOS

REBECCA KEISER



Dr. Rebecca Spyke Keiser is head of the Office of International Science & Engineering (OISE) and the Chief of Research Security Strategy and Policy (CORSSP) at the National Science Foundation (NSF). Keiser has served as head of OISE since coming to NSF in 2015. Keiser is the first CORSSP, a position established in March 2020 to ensure the security of federally funded research while maintaining open international collaboration. In this role, Keiser provides the NSF director with policy advice on all aspects of research security strategy. She also leads NSF's efforts to develop and implement efforts to improve research security and the agency's coordination with other federal agencies and the White House.

Prior to NSF, she was a special advisor to the National Aeronautics and Space Administration (NASA) administrator and an executive-in-residence at American University. She held several positions with NASA, including associate deputy administrator for strategy and policy, associate deputy administrator for policy integration, and executive officer to the deputy administrator. Keiser also served as assistant to the director for international relations at the White House Office of Science and Technology Policy, where she provided policy guidance to the president's science advisor.

PETER KLASON



Peter Klason is a senior counsel in the Office of the Chief Counsel for Industry and Security at the U.S. Department of Commerce. As senior counsel, he provides legal advice to the Bureau of Industry and Security on a wide range of matters related to regulations, enforcement, licensing and defense industrial base issues. He has been with the Office of Chief Counsel for 21 years. Prior to attending law school, he was a licensed customs broker and export specialist for Intertrans, Corp., and Fritz Companies, Inc. He has a B.A. in international affairs from Lewis & Clark College and a J.D. from the Georgetown University Law Center.

SPEAKER BIOS

KATHRYN KLOKKER



Kathryn Klokker is an Export Control Analyst at the University of Arizona. Her professional experience includes working on a portfolio of export-controlled research projects, focusing on hypersonics. Kathryn advocates for efficient use of technology and leveraging systems to make our lives easier. She is a member of the American Bar Association International Law Section Export Controls and Sanctions Committee, and has presented on the intersection of cyber-security, CMMC 2.0, export controls, and federal contracting requirements. She has a BA in Middle Eastern Languages and Cultures and BA in Spanish Literature from the University of Texas at Austin, MA in International Relations and JD from St. Mary's University in San Antonio, and is a licensed attorney in the State of Texas.

ALISON KRAUSS



Alison brings over 15 years of research administration and leadership experience in higher education and non-profits. Prior to joining Huron, Alison was the Director of Research Administration for Western Carolina University where she led the strategic direction, oversight, and implementation of pre-award, post-award, and research compliance services. She has extensive experience in research development, proposal development and pre-award administration, IRB administration, contract review and negotiation, and grants management. She co-led efforts to mitigate risk by developing policies and procedures and assessing research operational efficiency and effectiveness. Prior to that, Alison worked in the non-profit sector providing grants management and program administration within the fields of mental health and juvenile justice.

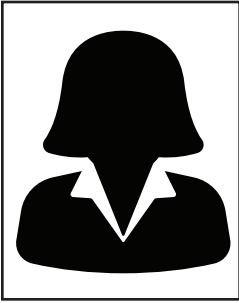
DREW LINDSEY



Drew Lindsey is an Assistant Director in the International Affairs and Trade (IAT) team at the U.S. Government Accountability Office (GAO). His portfolio of work has covered a range of issues, including economic sanctions, export controls, foreign assistance, and arms transfers. Mr. Lindsey holds a Master's Degree in Public Policy with focus on International Security from Harvard's Kennedy School and a bachelor's degree in Economics from Davidson College.

SPEAKER BIOS

JEN MCCAWE



Jen McCaw has worked in export compliance for 17 years and is currently the Export Compliance Officer at Oregon Health and Science University. In that role she oversees all aspects of the university's export control compliance program and also consults internally on imports, hazardous materials, and other shipping issues. Prior to joining OHSU, Jen served as the ECO for a freight forwarding company specializing in ITAR and hazardous materials exports, where she was responsible for developing and implementing their Export Compliance program. Other key contributions included meeting with government officials to discuss regulatory concerns on behalf of her clients, and developing and conducting training programs for clients ranging from micro manufacturers to Fortune 500 corporations.

JOSEF MEJIDO



Josef Mejido earned a B.S., summa cum laude, in Biology from the College of William & Mary, an M.S. in Genomics and Bioinformatics from the George Washington University, and a J.D. from the Rutgers School of Law - Newark, where he also received the ABA/BNA Award for Excellence - Intellectual Property Law. Currently, Josef works as the Export Control Officer at the University of Rochester. While in law school, Josef served as Managing Articles Editor for the Rutgers Computer & Technology Law Journal. Before taking the position at the University of Rochester, Josef briefly worked in private practice focusing on intellectual property law. Additionally, he has over six years of biomedical laboratory experience from working as a research biologist in the National Institute of Allergy and Infectious Diseases (NIAID) at the National Institutes of Health (NIH) and as a research fellow in the Center for Biologics Evaluation and Research (CBER) at the Food and Drug Administration (FDA).

SPEAKER BIOS

AARON MELVILLE



Aaron Melville, JD MBA is a Legal Analyst at Pacific Northwest National Laboratory (PNNL) with 18 years of legal and export controls experience. He works for various federal sponsors on export controls, research security, non-proliferation, sanctions, anti-money laundering, proliferation finance, high risk property, supply chain and emerging technology policy. Mr. Melville participates regularly in various export control working groups. Previously he served as Technology Protection Officer (TPO) at PNNL. As PNNL's TPO, he coordinated the activities of the Technology Protection and Export Controls (TPEC) office and led teams in the development of innovative technical solutions to enhance compliance activities. Aaron has worked closely with regulatory agencies including the departments of State, Commerce, and Energy to ensure the research activities at PNNL were compliant. Prior to joining PNNL Aaron led the development of compliance programs in the South Dakota regulatory system and in manufacturing industry. As outside counsel he advised small to midsized companies on compliance program implementation and development. Mr. Melville has also taught as an adjunct professor of Export/Import, Business, Law, and Economics at Champlain and Lyndon State Colleges. Mr. Melville is active in his community, enjoys art and hiking/backpacking with his family.

MARY MILLSAPS



Mary Duarte Millsaps is the Director of Research Compliance at NC State University and in that role oversees the compliance programs related to Animal Subject Research, Human Subject Research, Research Integrity, Conflicts of Interest, Export Compliance and Research Security. Millsaps is a member of the Association of University Export Control Officers (AUECO) and previously served as Chair of AUECO. She is a veteran of the United States Army and a graduate of the Wharton School of the University of Pennsylvania.

SPEAKER BIOS

ROSE NDEGWA



Rose Ndegwa is the Director, Export Controls and the Export Controls Officer for Emory University. Her overarching responsibility as the Director of Export Controls is the development, implementation, and maintenance of the Export Control Program at Emory.

Rose has over a decade's experience working in Higher Ed research compliance and administration. She previously worked at the Kansas State University to establish the export control compliance program, and at Texas A&M University where she was responsible for coordinating the export control program, enterprise risk management program, as well as supporting the IP Constituent Committee.

Before coming to Higher Ed, Rose spent over 15 years in intellectual property administration and management. She worked at the International Livestock Research Institute, ILRI, an institute of the Consultative Group on International Agricultural Research, CGIAR. She was instrumental in establishing the IP management program for ILRI. She started her career as a Patent Examiner with the Kenya Industrial Property Institute.

Rose has a Masters degree in Intellectual Property, from the Franklin Pierce Law Center, University of New Hampshire, and MA in Leadership Studies, from International Leadership University.

BETHANY NELSON



Bethany Nelson is the Export Control Manager for the University of Wisconsin-Madison and has been working there for over a decade. She is currently Chair for the Association of University Export Control Officers (AUECO). In the past, she has presented at variety of events for organizations such as AUECO, NCURA, EECARO, and Kings College London, and is a speaker for the US State Department. She has also had articles on export control published in a variety of publications. Ms. Nelson holds a Law Degree as well as a Bachelor's in Physics. She also loves finding

the flaws in fictional characters' export compliance.

SPEAKER BIOS

KAREN NIES VOGEL



Karen Nies-Vogel serves as the Director of Office of Exporter Services (OExS) in the U.S. Department of Commerce's Bureau of Industry and Security (BIS). Through its offices in Washington, DC, Irvine, CA, and Santa Clara, CA, OExS is responsible for BIS's outreach, education, compliance, and regulatory activities. In addition to counseling exporters, organizing and providing seminars, and drafting changes to the Export Administration Regulations (EAR), OExS advises exporters on compliance and administers compliance checks on exporters shipping items subject to the EAR. Prior to her selection as OExS Director in 2014, Ms. Nies-Vogel served as Chair of the End-User Review committee (ERC). As ERC Chair, she was responsible for administering the Validated End-User (VEU) program and the Entity List (Supplement No. 4 to Part 744 of the EAR). Within BIS, Ms. Nies-Vogel has also served as the Director of the Strategic Analysis Division in the Office of Strategic Industries and Economic Security, and as an Export Policy Analyst in the Office of Nonproliferation and Treaty Compliance's Foreign Policy Division. Prior to joining BIS in 1998, she worked for the Government of Japan. A recipient of Department of Commerce gold, silver, and bronze medals, and a member of the Senior Executive Service, Ms. Nies-Vogel has B.A. from Kenyon College and a M.A. from the Middlebury Institute of International Studies at Monterey.

CHRISTOPHER PENAHERRERA



Twenty years of experience in the successful application of the BSA, and OFAC sanctions in the U.S. and AML/CFT laws and regulations internationally. Experience in investigations, intelligence gathering, and analysis related to domestic and international threats. Proven success in building partnerships with foreign government counterparts, and foreign banking sectors to encourage voluntary compliance with AML/CFT and OFAC laws and regulations. Consistent successful track record working in high-stress environments, managing difficult situations and building consensus within groups of differing perspectives. Experience writing clear and concise reports for investigative, intelligence, and information purposes for directing policy decisions. Experience in interpreting and reviewing regulations pertaining to BSA and the USA PATRIOT Act to assist financial institutions, regulators, and law enforcement with regulatory requirements and investigations. Experience in developing policies and procedures for BSA and OFAC compliance programs. Over four years of supervisory experience to include leading teams, and building a cohesive team environment in domestic and foreign offices. Served in the U.S. Marine Corps with a combat deployment to Iraq in 2003.

SPEAKER BIOS

EMILY PENDER



Emily Pender is Manager of Export Controls in the Office of Culture, Ethics and Compliance at the University of Southern California (USC), where she has worked since 2020. She oversees the University's export control compliance program and supports USC's standing faculty committee charged with oversight of restricted research. Emily serves on several committees (including USC's Insider Threat Working Group and UAS (Drone) Review Board), leads faculty/staff trainings on export controls, and has developed numerous education and guidance materials for the USC community. In partnership with the Office of the Chief Information Security Officer (OCISO) and Assistant Vice President of Research Compliance, she is helping establish a research security governance framework for USC. Emily is the current Executive Board Secretary of the Association of University Export Control Officers (AUECO) and is ECoP® (EAR/ITAR) certified. Emily holds a Bachelor of Arts from Harvard University and a Master of Science in Education in Higher Education Management from the University of Pennsylvania.

MARSHA PESCH



Marsha Pesch is the Associate Director for UF Research Integrity, Security and Compliance at the University of Florida. Within this role, Marsha serves as the Export Control Officer and Facility Security with compliance oversight for the NISPOM and export control laws, regulations, and policies. She currently serves on the Advisory Committee for the Association for University Export Control Officers (AUECO). Marsha has over 15 years of Research Administration experience, serving as an ECO for nearly ten years. She holds two Bachelor's degrees from Florida State University and a Graduate Certificate in Research Administration from the University of South Florida.

SPEAKER BIOS

PATRICIA PFISTER



Patricia Pfister is the Export Controls Compliance Officer for the University of Illinois Chicago. In her role, Patricia is the primary point of contact for the review of all issues related to export controls at UIC.

Ms. Pfister has worked in academic research administration and compliance for over a decade. Prior to transitioning to academia, Patricia worked, for twelve years, in industry as the Vice President, Business and Legal Affairs for an international entertainment company, and prior to that as an associate attorney in private practice.

Patricia joined UIC in 2007 and held positions as the Legal Coordinator, Assistant Director, Contracts and Associate Director, Sponsored Projects and Research Contracts in the Office of Research Services and the Office of Technology Management. She spent two years at Northwestern University as a Senior Contract and Grant Officer in the Office for Sponsored Research on the Evanston campus and a Grant and Contract Officer in OSR on the Chicago campus.

Patricia is a member of the Association of University Export Control Officers (AUECO), the Association of Corporate Council (ACC), and the Illinois Bar. She has a Bachelor of Arts degree in History from Eastern Illinois University, a Juris Doctorate degree from the University of Illinois Chicago School of Law and is a Certified Research Administrator (CRA).

LAURA PROVENCHER



At the time of this conference Laura Provencher is transitioning from the University of Arizona to the Director of Export Control at UC, San Diego. Her professional experience includes navigating complex university research projects with nontraditional funding sources that involve the development of export regulated space and optical technologies. She is passionate about building collaborative cross-campus networks and assessing risks. Laura has co-authored articles on elicitation and research security and presented on topics including export classifications & "use,"

evaluating international travel risk, avoiding coercive risks, and emergency preparedness and response. She has a BA in Psychology and Education from the University of California, Davis, and an MA in Near Eastern Studies from the University of Arizona.

SPEAKER BIOS

TERRENCE RUSCH



Terrence Rusch is the Export Control Manager for Cornell University and previously has been involved with the export controls programs at Duke University and UNC-Chapel Hill. Prior to his time in academia Terrence worked in the freight forwarding and logistics industry where he was first introduced to the splendors of ITAR/EAR/OFAC regulations.

While international shipping is a focal point in Terrence's compliance career, he is no stranger to deemed exports, sanctions, and controlled research. When not fully ensconced in the above, Terrence enjoys watching Premier League soccer, reading non-fiction, and playing pub trivia.

SARAH SCHLAGTER



Sarah Schlagter is the current AUECO Outreach Chair and the Director of Research Integrity/Export Control and Compliance Officer at Cornell University. In her professional capacity, Sarah oversees Cornell's export control program and serves as the Empowered Official for Cornell University and it's Weill Medical College. She also has responsibility for Cornell's Conflict of Interest, RCR, and Research Integrity programs. Sarah has been with Cornell since 2015. Prior to coming to Cornell, Sarah worked in the international division for a large defense contractor and

practiced law in the public and private sectors. Sarah is an attorney admitted to the NY Bar. In her free time Sarah enjoys yoga, reading, music, and most of all, doting on her four kids (two cats, one dog, and one human).

SPEAKER BIOS

LAUREN SCHROEDER



Lauren Schroeder is currently the Director of The Texas A&M University System (A&M System) Export Control Office, a component of the A&M System Research Security Office. She provides administrative oversight to the A&M System's 11 universities and eight state agencies' export controls programs in this capacity. As a shared service, the System Export Control Office leads a system-wide affinity group, a consortium of all practitioners within the A&M System, to provide assistance and guidance in all export control-related matters. Before assuming this position, she systematically developed, implemented, and administered export control programs and served in other research compliance and policy-related roles. Lauren earned a BS and MS from Texas A&M University.

ERIK SCHULZ



Erik Schulz is the Export Control Specialist at the University of Nebraska–Lincoln. He is responsible for developing, coordinating, and implementing the Export Control Compliance Program for UNL. Erik has worked in export compliance since 2021. Prior to joining export control, he worked as a graduate teaching assistant and has experience with conducting research with human subjects. Erik holds a master's degree in anthropology from the University of Nebraska-Lincoln and is currently working on his PhD in Global Integrative Studies with a focus on Anthropology. He also has completed the Certified Export Compliance Professional (ECOP) in both EAR and ITAR and is a member of the Association of University Export Compliance Officers (AUECO).

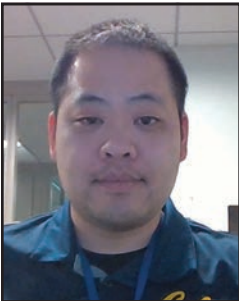
SPEAKER BIOS

KATE STOAN



Kate Stoa is the Director of Research Security and Compliance at the University of Missouri System. She has responsibilities for facility security, export controls and sanctions, and malign foreign influence. Kate began her career exporting in 2008 in industry preparing export paperwork. She entered the university research environment in 2016 where she worked as Export Compliance Administrator, and later as Export Control Analyst at the Ohio State University. Kate started the export controls and sanctions program at Cincinnati Children's Hospital Medical Center before accepting her current role at the University of Missouri System. Kate holds a B.A. in Psychology from Drury University and a Master of Library and Information Science from Kent State University. Kate is a member of AUECO, the Association of Certified Sanctions Specialists, NCMS, and the FBI Citizens Academy Alumni Association. Kate currently serves as Vice President on the Board of Directors for the FBI National Citizens Academy Alumni Association. When she's not working or volunteering, she enjoys spending time with family, friends, and her two dogs.

MICHAEL TU



Michael Tu serves as NASA's Associate Headquarters Export Administrator. In this role, he oversees all aspects of NASA's compliance with U.S. export control laws and regulations and represents NASA within the U.S. Government interagency export control discussions. Prior to NASA, he served as a Senior Electrical Engineer with the U.S. Department of Commerce, Bureau of Industry and Security. At Commerce, he provided technical expertise in administering and enforcing U.S. export controls in marine and space technologies, led industry outreach and international engagement efforts, and was a member of the U.S. delegation to the Wassenaar Arrangement. He received departmental Gold Medal honors in 2021 and 2022 for work identifying, disrupting, and prosecuting illegal exports of U.S.-origin special forces combat raiding craft; and for work in interagency and international coordination to implement export controls on Russia in response to the invasion of Ukraine. Prior to joining Commerce, Tu worked at the Center for Nonproliferation Studies, the National Academy of Sciences, the University of Pennsylvania School of Medicine, and Raytheon Missile Systems. He holds an M.S.E from the University of Pennsylvania and a B.A and B.S from Swarthmore College.

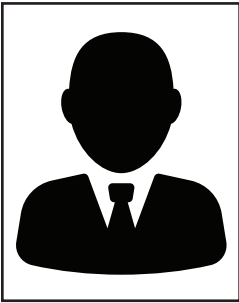
SPEAKER BIOS

AMY WEBER



Amy Weber is the Senior Director, Export Controls & International Compliance at Northwestern University. Her portfolio includes research security, export controls, and international compliance. Before Northwestern, she served as a Senior Export Control Analyst at the University of Arizona. Prior to joining higher education, Amy served two decades as a career analyst with the Federal Bureau of Investigation. She held various leadership roles - including leading the FBI's Counterintelligence Analysis Section in Washington, D.C. - overseeing the FBI's national counterintelligence analysis.

CHRISTOPHER WEIL



Mr. Weil currently leads the Technology and Jurisdiction Analysis division within the Directorate of Defense Trade Controls, where he oversees the portion of the International Traffic in Arms Regulations (ITAR) known as the U.S. Munitions List (USML).

His team is primarily responsible for maintaining and interpreting the USML and administering the Commodity Jurisdiction process, which is used to determine whether specific commodities are described on the USML.

He previously served as the Director of Export Licensing for the Navy International Programs Office, where his team provided export regulation support to Navy/Marine Corps (DON) programs and advised the Department of Defense on DON equities regarding the ITAR and related technology security efforts.

A retired naval officer, Mr. Weil's diverse military experience includes service in the nuclear submarine force, both fixed- and rotary-wing aviation, and on installation and numbered fleet staffs. In addition to his two primary Navy communities, he filled collateral positions in Integrated Air and Missile Defense, Exercise Planning, Emergency Management, Safety, and Technology Security.

Mr. Weil holds a degree in Electrical Engineering from The Military College of South Carolina ('The Citadel'), as well as ATP-ME and commercial aviation licenses.

Although he has lived predominantly in the southeastern United States, Mr. Weil also spent two years in Bahrain, as well as three years in Guantanamo Bay, Cuba flying routes throughout the United States, Caribbean, Central and South America. He and his wife consider themselves blessed to be raising their children in the DC metropolitan area.

SPEAKER BIOS

KEVIN WOLF



Kevin Wolf has more than 25 years' experience providing advice and counseling regarding the laws, regulations, policies, practices and politics pertaining to export controls, sanctions, national security reviews of foreign direct investments and other international trade issues. His expertise includes civil and criminal defense, internal investigations, due diligence audits and compliance program enhancements, as well as being a Special Compliance Officer. Served as Assistant Secretary of Commerce for Export Administration, Bureau of Industry and Security (BIS).

EDWARD YOU



Edward You is a Supervisory Special Agent in the FBI's Weapons of Mass Destruction Directorate. Mr. You is responsible for identifying, assessing, and responding to biological threats or incidents. He also supports initiatives to build partnerships across the government and with the life sciences community, domestically and internationally. He is currently on a Joint Duty Assignment at the Office of the Director of National Intelligence (ODNI) serving as the National Counterintelligence Officer for Emerging and Disruptive Technologies. In this capacity, Mr. You

serves as the principal adviser to the Director of the National Counterintelligence and Security Center and the relevant senior intelligence officials on threats to advanced biotechnologies and the bioeconomy. Prior to joining ODNI, Mr. You completed a tour serving as a Liaison Officer in the Dept. of Health and Human Services (HHS) Office of National Security where he was responsible for coordinating law enforcement and security exchanges between HHS and FBI and also supported the Federal COVID-19 Response Security and Assurance efforts in identifying and mitigating risks to the U.S. biodefense supply chain.

Mr. You has also been directly involved in policy-making efforts with a focus on biosecurity. He served as an active Working Group member of the White House National Security Council Policy Coordinating Committee on Countering Biological Threats and represented the FBI as an Ex Officio member of the National Institutes of Health National Science Advisory Board for Biosecurity. He also served on two National Academies of Sciences, Engineering, and Medicine Committees, the Institute of Medicine's Forum on Microbial Threats and the Committee on Science, Technology, and Law's Forum on Synthetic Biology. He is currently a Senior Fellow for the Scowcroft Institute of International Affairs at the Bush School of Government and Public Service at Texas A&M University.

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